

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: Wednesday, 8 September
2021

My Ref:

Your Ref:

**Committee:
Audit Committee**

Date: Thursday, 16 September 2021
Time: 10.00 am
**Venue: Shrewsbury Room, Shirehall, Abbey Foregate, Shrewsbury,
Shropshire, SY2 6ND**

You are requested to attend the above meeting. The Agenda is attached
**Members of the public will be able to access the live stream of the meeting by
clicking on this link:**

<http://shropshire.gov.uk/AuditCommittee16Sept2021>

**There will be some access to the meeting room for members of the press and
public but this will be very limited due to current Health and Safety Regulations. If
you wish to attend the meeting, please e-mail democracy@shropshire.gov.uk to
check that a seat will be available for you.**

Tim Collard
Interim Assistant Director – Legal and Democratic Services

Members of Audit Committee

Rosemary Dartnall
Roger Evans
Simon Harris (Vice-Chairman)

Nigel Lumby
Brian Williams (Chairman)

Your Committee Officer is:

Michelle Dulson Committee Officer
Tel: 01743 257719
Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

3 Minutes of the previous meeting held on the 30 July 2021 (Pages 1 - 10)

The Minutes of the meeting held on the 30 July 2021 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 10.00am on Wednesday 8 September 2021.

5 Member Questions

To receive any questions of which Members of the Council have given notice. The deadline for notification for this meeting is 10.00am on Wednesday 8 September 2021.

6 Second line assurance: Risk Annual Report 2020/21 incorporating Strategic Risks Update (Pages 11 - 20)

The report of the Risk and Insurance Manager is attached
Contact: Angela Beechey 01743 252073

7 Second line assurance: Annual Treasury Report 2020/21 (Pages 21 - 38)

The report of the Executive Director of Resources (Section 151 Officer) is attached

Contact: James Walton 01743 258915

8 Third line assurance: Internal Audit Performance Report and revised Annual Audit Plan 2021/22 (Pages 39 - 58)

The report of the Head of Audit is attached

Contact: Ceri Pilawski 01743 257739

9 Third line assurance: Internal Audit: Quality assurance and Improvement Programme (QAIP) (Pages 59 - 64)

The report of the Head of Audit is attached
Contact: Ceri Pilawski 01743 257739

10 Second line assurance: Statement of Accounts 2020/21 (Pages 65 - 278)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton 01743 258915

11 Third line assurance: External Audit: Shropshire County Pension Fund Annual Audit findings (Information) 2020/21 (Pages 279 - 306)

The report of the Engagement Lead is attached

Contact: Grant Patterson (0121) 232 5296

12 Third line of assurance: External Audit: Audit progress report and sector update

The report of the Engagement Lead is to follow

Contact: Grant Patterson (0121) 232 5296

13 Third line of assurance: Changes to Arrangements for Appointment of External Auditors (Pages 307 - 314)

The report of the Executive Director of Resources (Section 151 Officer) is attached

Contact: James Walton 01743 258915

14 Date and time of next meeting

The next meeting of the Audit Committee will be held on the 9th December 2021 at 10:00am.

15 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

16 Exempt Minutes (Pages 315 - 316)

The Exempt Minutes of the meeting held on the 30 July 2021 are attached for confirmation.

Contact: Michelle Dulson 01743 257719

17 First line assurance: Highways Term Maintenance update (Pages 317 - 354)

The report of the Executive Director of Place is attached
Contact: Mark Barrow 01743 258919

18 Third line assurance: Fundamental Recommendations (Exempted by Categories 2, 3 and 7) (Pages 355 - 358)

The report of the Head of Audit is attached
Contact: Ceri Pilawski 01743 257739

19 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 2, 3 and 7) (Pages 359 - 364)

The report of the Principal Auditor is attached
Contact: Katie Williams (01743) 257737